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SECTION 3 BUSINESS CONCERN SELF CERTIFICATION

(E-mail completed form to: brichards@falmouthhousing.org)

Part I: Contractor Subcontractor Other: _____
Business Name

Trade / Type of Services/Work Performed: _____

Address: _____

Contact Name: _____ Telephone Number: _____

Project Name: _____ Email Address: _____

Part II:

Are you a Section 3 Business? YES NO

If YES, complete below:

I am a Section 3 Business Concern (check all that apply and attach supporting documents as relevant)

- Type 1: The business is 51% or more owned by Section 3 Residents**
(Attach a Section 3 Resident Certification Form(s) for each owner claiming a Section 3 Resident status or a statement on company letterhead certifying Section 3 Resident status.)
- Type 2: At least 30% of permanent full-time employees are currently Section 3 Residents, or, were Section 3 Residents within three years of the date of first hire**
Indicate total number of permanent full-time employee(s): _____
Indicate total number of Section 3 Resident employee(s): _____
Attach Section 3 Resident Certification form(s) equal to the number of Section 3 Resident employees specified or a statement on company letterhead certifying that 30% of company's workforce qualify as Section 3 Residents.
- Type 3: We are committed to subcontracting, in excess of 25% of the dollar award of all subcontracts to Section 3 Business Concern(s) that meet one of the first two qualifications noted above.**
(Attach a Section 3 Business Certification Form for each subcontractor who is a qualified Section 3 Business Concern.)

Part III:

My business also qualifies as:

- Minority-Owned Business
- Labor Surplus Area

- Woman-Owned Business
- Veteran-Owned Business

Definitions:

Minority-Owned Business: a **minority business enterprise** is **defined** as a **company** that is at least 51% **owned** and operated by an individual that is at least 25% African American, Asian, Hispanic, or Native American. If the **company** is publicly-traded, then the stock must be at least 51% **minority-owned** as well.

Woman-Owned Business: is **defined** as one that is at least 51% **owned**, operated and controlled on a daily basis by one or more **female** American citizens. WBEs are typically certified by a third-party, city, state or **federal** agency.

Veteran-Owned Business: At least 51 percent of the **business** must be directly and unconditionally **owned** by one or more **veteran(s)** or service-disabled **veteran(s)**. The **veteran** owner(s) must have full control over the day-to-day management, decision-making, and strategic policy of the **business**.

Labor Surplus Area: is a civil jurisdiction that has a civilian **average annual unemployment rate** during the previous two calendar years of 20% or more above the average annual civilian unemployment rate for all states (including Puerto Rico) during the same 24-month reference period

By submitting this form, my business certifies that the statements and information contained on this form are true and accurate, and meet the HUD Section 3 business self-certification eligibility requirements in accordance with 24 CFR Part 135. I further understand that a Section 3 business is not entitled to a contract simply by being registered as an eligible business with the Falmouth Housing Authority. Businesses that self-certify their eligibility may receive preference as a Section 3 business, subject to verification from FHA and/or its Agents. Information that is misrepresented on this form will be grounds for terminating Section 3 certification.

Signature

Name and Title

Date

SECTION 3

Section 3 is a provision of the Housing and Urban Development Act of 1968. The purpose of Section 3 to ensure that employment and other economic opportunities generated by certain HUD financial assistance shall, to the greatest extent feasible, and consistent with existing Federal, State and local laws and regulations, be directed to low-and very low income persons, particularly those who are recipients of government assistance for housing, and to business concerns which provide economic opportunities to low- and very low-income persons.

Section 3 Business Registry

If you believe your business qualifies as a Section 3 Business Concern based on the below definition, complete Form 2: Section 3 Business Concern Certification and submit to brichards@falmouthhousing.org Upon receipt of the form, FHA will note your business as Section 3 Business-eligible. The notation will be used to identify businesses for direct contracting and subcontracting needs.

What is a Section 3 Business Concern?

Section 3 Business Concerns are businesses that can provide evidence that they meet one of the following criteria:

1. 51% or more of the business is owned by Section 3 Residents; or
2. At least 30% of its permanent full time employees include persons that are currently Section 3 Residents, or were Section 3 Residents within three years of the date of first hire; or
3. A business that commits to subcontract in excess of 25 percent of the dollar award of all subcontracts to business concerns that meet one of the first two qualifications above.

Who is a Section 3 Resident?

A Section 3 Resident is:

- a. A public housing resident; or
- b. A low income person residing in the metropolitan area or non-metropolitan county where the Section 3 covered assistance is expended.

Low income limits are determined annually by HUD for each metropolitan area. The table below the current area HUD Income Limits. If your income falls within one of those categories, you are a Section 3 Resident.

FY 2024 Barnstable County HUD Income Limits	
Number in Household	Low Income Limit
1 individual	\$ 68,500 or less
2 individuals	\$ 78,250 or less
3 individuals	\$ 88,050 or less
4 individuals	\$ 97,800 or less
5 individuals	\$105,650 or less

Questions? Contact

Bobbi Richards, Executive Director

Falmouth Housing Authority

508-648-9688; brichards@falmouthhousing.org